

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOCTTM NO.
109841-15SERIAL NO.
TBA

APPLICANT:

Buckberg, et al.

FILING DATE:

Herewith

GROUP:

TBA

U.S. PATENT DOCUMENTS

| EXAMINE R INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|----------------------|---|-----------------|----------|-------------------|-------|--------------|----------------|
| <i>JC</i> | A | 3,279,464 | 10/18/66 | Kline | 128 | 64 | 12/63 |
| | B | 3,656,185 | 04/18/72 | Carpentier | 3 | 1 | 02/04/70 |
| | C | 3,874,388 | 04/01/75 | King et al. | 128 | 334 | 02/12/73 |
| | D | 3,938,863 | 10/05/76 | Janke et al. | 600 | 16 | 07/16/90 |
| | E | 4,042,979 | 08/23/77 | Angell | 3 | 1.5 | 07/12/76 |
| | F | 4,055,861 | 11/01/77 | Carpentier | 3 | 1.5 | 04/09/76 |
| | G | 4,164,046 | 07/14/79 | Cooley | 3 | 1.5 | 05/16/77 |
| | H | 4,204,283 | 05/27/80 | Bellhouse | 3 | 1.5 | 05/03/78 |
| | I | 4,217,665 | 08/19/80 | Bex et al. | 3 | 1.5 | 06/22/76 |
| | J | 4,366,581 | 01/04/83 | Shah | 3 | 1.5 | 09/02/81 |
| | K | 4,602,911 | 07/29/86 | Ahmadi et al. | 623 | 2 | 01/25/85 |
| | L | 4,690,134 | 09/01/87 | Snyders | 128 | 64 | 07/01/85 |
| | M | 4,821,723 | 04/18/89 | Baker, Jr. et al. | 128 | 419 | 02/27/89 |
| | N | 4,917,089 | 04/17/90 | Sideris | 606 | 215 | 08/29/88 |
| | O | 4,957,477 | 09/18/90 | Lundback | 600 | 16 | 05/09/89 |
| | P | 4,973,300 | 11/27/90 | Wright | 600 | 37 | 09/22/89 |
| | Q | 5,041,130 | 08/20/91 | Cosgrove et al. | 623 | 2 | 11/30/89 |
| | R | 5,104,407 | 04/14/92 | Lam et al | 623 | 2 | 09/20/90 |
| | S | 5,131,905 | 07/21/92 | Grooters | 600 | 16 | 07/16/90 |
| | T | 5,192,314 | 03/09/93 | Daskalakis | 623 | 3 | 12/12/91 |
| | U | 5,258,000 | 11/02/93 | Gianturco | 606 | 151 | 11/25/91 |
| | V | 5,425,744 | 06/20/95 | Fagan et al. | 606 | 213 | 04/18/94 |
| | W | 5,433,727 | 07/18/95 | Sideris | 606 | 213 | 08/16/94 |
| | X | 5,451,235 | 09/19/95 | Lock et al. | 606 | 213 | 04/14/94 |

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| | | | | | | | |
|----|----|-----------|----------|------------------|-----|----|----------|
| MC | Y | 5,507,811 | 04/16/96 | Koike et al. | 623 | 11 | 11/15/94 |
| | Z | 5,571,172 | 11/05/96 | Chin | 623 | 1 | 08/15/94 |
| | AA | 5,607,471 | 03/04/97 | Seguin et al. | 623 | 2 | 08/21/95 |
| | BB | 5,702,343 | 12/30/97 | Alfernness | 600 | 37 | 10/02/96 |
| | CC | 5,800,528 | 09/01/98 | Lederman et al.. | 623 | 3 | 12/29/95 |
| ✓ | DD | 5,813,975 | 09/29/89 | Valenti | 600 | 37 | 11/07/95 |

FOREIGN PATENT DOCUMENTS

| EXAMINE R INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATIO YES NO |
|-------------------|----|-----------------|----------|---------|-------|-----------|-------------------|
| MC | EE | WO 91/19465 | 12/26/91 | PCT | | | X |
| ✓ | FF | WO 93/13712 | 07/22/93 | PCT | | | X |
| ✓ | GG | WO 99/56655 | 11/11/99 | PCT | | | X |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
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| MC | HH | Bohm, J. et al., "Endoventricular Patch Plasty for Restoration of Ventricular Geometry and Pump Function in Ventricular Aneurysm" Z. Kardio., 85:43-46 Supp. 4 1996 |
| | II | Di Donato MD, M. et al., "Early Hemodynamic Results of Left Ventricular Reconstructive Surgery for Anterior Wall Left Ventricular Aneurysm", 886-890; 1992 |
| | JJ | Di Donato MD, M. et al., "Akinetic Versus Dyskinetic Postinfarction Scar: Relation to Surgical Outcome in Patients Undergoing Endoventricular Circular Patch Plasty Repair", 1569-1575; 1997 |
| | KK | Dor, V. "Left Ventricular Aneurysms: The Endoventricular Circular Patch Plasty", 123-130; 1997 |
| | LL | Dor, V. "Ventricular Remodeling in Coronary Artery Disease", 553-537; 1997 |
| | MM | Dor, V. "Reconstructive Left Ventricular Surgery for Post-Ischemic Akinetic Dilatation", 139-145; 1997 |
| | NN | Dor, V. "The Treatment of Refractory Ischemic Ventricular Tachycardia by Endoventricular Patch Plasty Reconstruction of the Left Ventricle", 146-155; 1997 |
| | OO | Emmrich, K. et al., "Contribution to the Discussion of the Lecture by Bohm, Berlin", 47-48; 1996 |
| | PP | Jatene, A.D. et al., "Left Ventricular Aneurysmectomy", 321-331; 1985 |
| ✓ | QQ | Author Unknown, Date Unknown (Videotape given to one of the applicants after December 24, 1997), Reconstrucao da geometria ventricular esquerda com protége semi-rígida de pericardio de bovino (A videotape by the Institute De Molestias Cardiovasculares in Portuguese taken in Brazil of Dr. Braille performing a surgical procedure on a heart. |

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